

This form is used to name and register the ownership of a horse.
The Foal Identification Card or Document of Description must be lodged with the application.
A horse can be registered in the names of up to 20 owner entities.
The total share percentage of all owners must equal 100%.
Incomplete or incorrectly completed applications may result in processing delays.
Full contact details, including mobile number and email address are to be provided for each owner where relevant

***Completed applications should be lodged, complete, 10 working days prior to the horse being nominated to trial or race.**

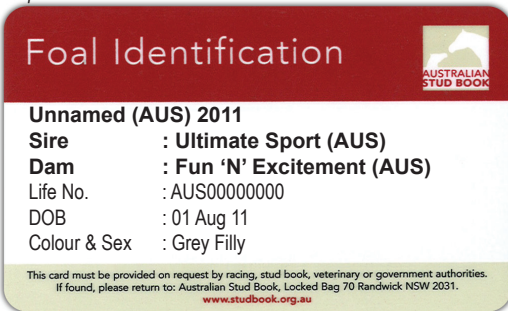
Foal Identification Card

All horses born since 2003 are issued with a Foal Identification Card by the Australian Stud Book following parentage validation. The card describes the horse's breeding, markings, sex, brands and microchip details.

The Foal Identification Card must be lodged with the application.

If the Foal Identification Card has been lost or stolen, contact the Australian Stud Book on 02 8072 1900 or email studbook@racingaustralia.horse.

Example Old Stud Book Foal Identification Card

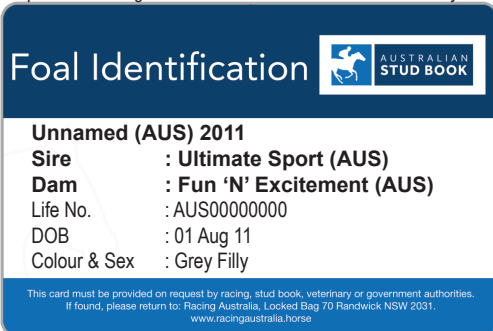


Foal Identification AUSTRALIAN STUD BOOK

Unnamed (AUS) 2011
Sire : Ultimate Sport (AUS)
Dam : Fun 'N' Excitement (AUS)
 Life No. : AUS00000000
 DOB : 01 Aug 11
 Colour & Sex : Grey Filly

This card must be provided on request by racing, stud book, veterinary or government authorities.
If found, please return to: Australian Stud Book, Locked Bag 70 Randwick NSW 2031.
www.studbook.org.au

Example New Racing Australia Foal Identification Card from May 2015



Foal Identification AUSTRALIAN STUD BOOK

Unnamed (AUS) 2011
Sire : Ultimate Sport (AUS)
Dam : Fun 'N' Excitement (AUS)
 Life No. : AUS00000000
 DOB : 01 Aug 11
 Colour & Sex : Grey Filly

This card must be provided on request by racing, stud book, veterinary or government authorities.
If found, please return to: Racing Australia, Locked Bag 70 Randwick NSW 2031.
www.racingaustralia.horse

Following registration the horse will be issued with a Certificate of Registration and a Thoroughbred Identification Card. These documents should remain with the horse at all times for identification purposes.

Appointment of Manager

The manager of a horse is the first named person recorded on the Registration Application. If the first named owner is a registered syndicate, the syndicate manager is the manager of the horse.

The manager acts for and represents the other joint owners as described in the Australian Rules of Racing. A copy of the rules can be found at www.racingaustralia.horse. As such, the manager may sign a Transfer of Ownership and Change of Share % forms on behalf of all remaining owners (being those owners who are neither relinquishing nor acquiring a share in the horse), provided there are no changes to the share percentage of each remaining owner. The manager must notify all remaining owners of the transfer of ownership or change of share percentage in advance. By signing this Horse Registration form, each owner consents to any future changes to the ownership composition and structure of the horse requested by the manager, provided there are no changes to the share percentage of each remaining owner. By signing this Horse Registration form: (a) each owner agrees that RA, to the maximum extent permitted by law, is not liable to make any payment for any claim, loss or liability that may arise from the manager signing a Transfer of Ownership or Change of Share % form; and (b) the manager indemnifies RA against any claim, loss or liability that arises from that manager signing a Transfer of Ownership or Change of Share % form on behalf of another person.

Following registration the manager can only be changed, by the joint owners representing a majority interest in the horse signing and lodging with RA a Change of Manager form (available for download at www.racingaustralia.horse/RoR/Forms.aspx). If the manager plans to relinquish

his or her interest or the new manager was not previously an owner (and there is no change to the share percentage of each remaining owner), the following procedure must be followed: (a) the joint owners representing a majority interest in the horse must complete and sign a Change of Manager form; (b) the new manager must notify all remaining members of the change to the ownership composition and structure; (c) a Transfer of Ownership form must be completed and signed in accordance with the usual procedures; and (d) the completed Change of Manager and Transfer of Ownership forms must be lodged, together, with RA or the relevant PRA.

Types of Ownership

A horse can be registered in the names of up to 20 owner entities.
An entity can be a:

- Natural person
- Registered syndicate
- Company
- Firm
- Stud

Please note racehorses can only race in the ownership of individuals or registered syndicates. If you are registering a horse in the name of a company, firm or stud which is not currently registered as a syndicate with a Principal Racing Authority (PRA), the horse must be leased to an eligible party for racing purposes.

Fitness and Propriety of Applicants

All individuals, including registered syndicate members, who hold a share or interest in the ownership of a racehorse are required to notify the Registrar of Racehorses if they:

- a. Have been convicted of or have a pending charge against them for any offence involving violence against a person or dishonest or criminal activity in the past 10 years; or
- b. Have ever been convicted under the Australian Rules of Racing or the rules of any other Racing Authority.

Details of the offence must be submitted in writing prior to an application being lodged. If an individual neglects or fails to truthfully respond to these questions, this application and any other application concerning the individual may be refused or cancelled or other penalties incurred.

Rules of Racing

As a condition of the horse's registration being accepted, all owners noted on the registration form must familiarise themselves with and agree to be bound by the Rules of Racing, both local and Australian as amended from time to time. The Australian Rules of Racing can be viewed at www.racingaustralia.horse. For Local Rules of Racing please contact the relevant PRA.

Assignment and Licence

Due to the essential role that RA plays in administering, promoting and reporting on thoroughbred horse racing, as well as providing racing materials, RA must be able freely to use all intellectual property rights (including but not limited to copyright) that may subsist in the name, image, jockey silks and other indicia associated with this horse.

In order to allow RA to use these intellectual property rights without impediment, as a condition of the horse's registration, you agree that RA owns all right, title or interest (including but not limited to copyright, goodwill and reputation) in the name, image, jockey silks or other indicia associated with that horse, whether existing before or after you sign this form. To the extent that you own any such intellectual property rights by force of law, you must assign them to RA. You must also undertake to RA that you will not apply to register as a trade mark any such indicia or do anything that will or may adversely affect or otherwise limit the ability of RA, the principal racing authorities or race clubs to administer, promote or report on thoroughbred racing (or authorise any other person to do so). You may request permission from RA to register a trade mark associated with the horse, and RA may accept or reject your request in its absolute discretion and subject to any conditions it deems necessary or desirable.

In exchange for the agreement, assignment and undertakings, upon registration of the horse RA will grant to you a non-exclusive, royalty-free and non-transferable licence to (a) use the name, image, jockey silks and other indicia associated with the horse where RA owns the intellectual property in such indicia for any purpose related to racing, training, promoting and otherwise dealing with the horse, including merchandising; and (b) sub-license the same to any other person.

Privacy and Personal Information

Your Personal Information

The personal information collected on this form which is required to complete your application includes your name, birth date, address, contact details, email, mobile GST status, and bank account details. This information is required to:

- Identify you
- Assess your eligibility as an owner
- Facilitate the administration of racing
- Communicate with you
- Enable payment of prize money

Where information is not provided your application may be refused or delayed until the required information is provided.

How your personal information is used

The information collected about you will be shared with the PRA in each state and territory of Australia or used by Racing Australia including the Australian Stud Book in order to:

- Enable PRAs and Racing Australia to fulfill their regulatory responsibilities
- Promote and protect the integrity of the sport to ensure compliance with the Rules of Racing
- Communicate with you including for promotional, marketing and publicity purposes
- Enable PRAs and Racing Australia to assist industry associations such as Breeders, Trainers and Owners Association

Personal information may be disclosed to third parties such as government enforcement agencies, appeal bodies, race clubs, wagering service providers, approved auctioneers, international racing bodies, or other authorities as required, but only for one or more of the purposes described above and, where approval is needed, where approved by the PRA and/or RA. Disclosures to such bodies may also be made if RA and/or PRA believes that the disclosure is reasonably necessary for law enforcement either by or on behalf of an enforcement body or the disclosure is authorised or required by law.

Names of horse owners are publicly available on certain websites and may also appear in race field lists, race books, form guides and similar publications where appropriate.

Names of horse owners are available via a horse register provided to approved auctioneers which is available for inspection by bona fide purchasers only.

RA's Privacy Policy, found at <http://www.racingaustralia.horse/AboutUs/PrivacyPolicy.aspx>, contains information about: how to contact RA; the purposes for which RA collects personal information; the organisations and types of organisations to whom RA usually discloses personal information (and the countries in which overseas recipients are likely located); how you may seek access to or correction of information RA holds about you; and how you can make a complaint if you believe that RA has interfered with your privacy.

Access to Information

You can access and request changes to the information held about you by contacting the Registrar of Racehorses or relevant PRA.

Declaring your GST Status

Owners are required to indicate their GST status in relation to their horse racing activity for taxation purposes. If a declaration is not made, a 47% withholding tax will be deducted from the total prize money.

When do I supply an ABN?

If an owner's horse racing activities are conducted as an enterprise and the enterprise is registered for goods and services tax the owner can quote the ABN of that enterprise.

If an owner's horse racing activity is conducted as a private recreational pursuit or hobby, an ABN cannot be provided and you must declare yourself as a hobbyist. You can only quote an ABN if the ABN is for an enterprise that is racing horses as part of that enterprise.

Individual members of a registered syndicate must not provide their own ABN. The syndicate must be registered for GST and provide an ABN.

What happens if you quote an ABN for a business that is not involved in horse racing activities?

If you quote an ABN for an enterprise whose activities do not include racing horses, you will be making a false or misleading statement which is an offence under taxation law.

If incorrect ABN information has been provided on a previous application, contact the PRA in your state or territory.

Tax Invoices

Where an owner or entity is GST registered, the following agreement is given:

- The recipient may issue tax invoices in respect of the specified supplies:
- The supplier will not issue tax invoices in respect of those supplies:
- The supplier acknowledges that it is registered when it enters into the agreement and that it will notify the recipient if it ceases to be registered:
- The recipient acknowledges that it is registered when it enters into the agreement and that it will notify the supplier if it ceases to be registered.

Where can I get more information?

For more information about GST in relation to prize money, contact the Stakes Payments Department of the PRA in your state or territory.

For information about whether or not your horse racing activity constitutes an enterprise and should be registered for GST, please seek your own advice by contacting the Australian Taxation Office.

Prize Money

How is Prize Money Paid?

Payment of prize money, and GST where applicable, is administered by the PRA in whose jurisdiction the horse became eligible to receive prize money.

Please note EFT payments can only be made to Australian bank accounts.

See below for more information about stakes payment options in each state.

NSW, ACT & QLD

When **ALL** owners provide their bank account details on the form, prize money will be paid via EFT directly into each owner's bank account according to their entitlement. If an owner does not supply bank account details, all prize money will be forwarded to the Manager (Owner 1) except where an entity is GST registered for racing purposes. Where an entity indicates on the form that they are GST registered for racing purposes and supply a valid ABN and bank account, they will receive prize money together with the GST component directly into their account.

Please note a \$16.50 processing fee (GST included) will be charged for all cheque payments made for NSW and ACT. QLD only pays via EFT - no payment is made by cheque.

VIC & SA

Individual entities who supply a valid bank account on the form will receive prize money directly into their account via EFT provided that the Manager (Owner 1) has also supplied their bank account details on the form. If no bank account details are provided for any given entity, their prize money payment will be forwarded to the Manager. If the Manager has not supplied bank details, payment will be forwarded to them by cheque.

TAS

All prize money is forwarded to the Manager (Owner 1). Where bank details are provided on the form, payment will be made via EFT. If bank details are not provided, a cheque will be forwarded. Individual entities who have elected to have their percentage of prize money paid directly to them and who supply valid bank account details on the form, will receive prize money directly into their account via EFT.

WA & NT

All prize money is paid to the Manager (Owner 1) via EFT only. Where email addresses are not supplied, an administration fee will be charged (WA only).

Fees

The fee to register a horse is \$110.00. Please note there is no GST payable to register a horse.

Registered Syndicates Only

An additional \$40.00 is payable by each registered syndicate with an interest in the horse except if it is the first horse to be registered in the syndicate's name.

Payment Options

Payment options include cheque, money order, VISA or MasterCard. Cheques and money orders should be made payable to the Registrar of Racehorses.

Please note credit card payments will appear on bank statements as Racing Info Serv Aus Flemington.

Completed Applications

Completed applications should be forwarded with your payment and the Foal Identification Card or Document of Description to:

Registrar of Racehorses A division of RA

Level 11, 51 Druitt Street
Sydney NSW 2000

T: +61 2 8072 1900

F: +61 2 8072 1999

E: registration@racingaustralia.horse

W: www.racingaustralia.horse

Horse Description

| | | | | | |
|---|------------------------------|-----------------------------|--|--------------------|----------------------|
| Dam | <input type="text"/> | Suffix | <input type="text"/> | Foal Year of Birth | <input type="text"/> |
| Sire | <input type="text"/> | Suffix | <input type="text"/> | Colour | <input type="text"/> |
| Microchip Number | <input type="text"/> | Sex | <input type="checkbox"/> F = Female <input type="checkbox"/> G = Gelding <input type="checkbox"/> E = Entire | | |
| Country of Foaling | <input type="text"/> | Near Side Brand | <input type="text"/> | Off Side Brand | <input type="text"/> |
| Name of Proposed Trainer | <input type="text"/> | | | | |
| Trainer's Contact Number | <input type="text"/> | | | | |
| Email | <input type="text"/> | | | | |
| Has this horse been named in another country? | Yes <input type="checkbox"/> | No <input type="checkbox"/> | If yes, provide name <input type="text"/> | | |
| Has this horse ever raced in another country? | Yes <input type="checkbox"/> | No <input type="checkbox"/> | If yes, in which country? <input type="text"/> | | |

The Foal Identification Card or Document of Description MUST be lodged with the application.

YES, I HAVE ENCLOSED THE FOAL IDENTIFICATION CARD/DOCUMENT OF DESCRIPTION.

Proposed Names

Please provide three names in order of preference.

| | |
|---|----------------------|
| 1 | <input type="text"/> |
| 2 | <input type="text"/> |
| 3 | <input type="text"/> |

***Completed applications should be lodged, complete, 10 working days prior to the horse being nominated to trial or race.**

DO NOT INCLUDE UNWANTED NAMES

- Names must not exceed 18 characters including spaces and apostrophes.
- Offensive or suggestive names will not be permitted.
- Names that are similar to a name with an existing name restriction may not be permitted.
- First name and surname combinations are not permitted e.g. John Smith.
- Registered company or business names and registered, pending or common law trade marks will not be accepted.
- A name cannot be repeated for 17 years after the year of birth of a horse with the same name, or for 20 years after the year of birth of the youngest named produce of a horse with the same name.
- To check name availability and to view the Registrar's naming guidelines, visit www.racingaustralia.horse. To be used as a guide only. All names are subject to the approval of the Registrar of Racehorses.
- Name changes and amendments are subject to additional charges.

Delivery Instructions

ONLY complete this section if you want the horse's identification card to be sent to someone other than the managing owner.

| | |
|----------------|--------------------------------|
| Name | <input type="text"/> |
| Postal Address | <input type="text"/> |
| | Post Code <input type="text"/> |
| Daytime Phone | <input type="text"/> |
| Mobile | <input type="text"/> |

Payment

The fee to register a horse is \$110.00. **Registered Syndicates Only** - An additional \$40.00 is payable by each registered syndicate with an interest in the horse except if it is the first horse to be registered in the syndicate's name.

Registration Fee \$ Registered Syndicate Fee (if applicable) \$

Payment options include cheque, money order, or credit card. Please make cheques and money orders payable to the Registrar of Racehorses.

| | | | |
|------------------------|----------------------|---------------------------------------|-------------------------------|
| Cardholder's Name | <input type="text"/> | Card Number (VISA or MasterCard only) | <input type="text"/> |
| Cardholder's Signature | <input type="text"/> | Expiry | <input type="text"/> |
| | | CVN | <input type="text"/> |
| | | Total Amount | \$ <input type="text"/> . 0 0 |

Office Use Only

| | | | | | | |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Payment Type | Amount | Apps / AHF | Initial Check | Named By | Registered By | Stakes |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

All fields in the relevant parts of the form are required to complete your application. Where information is not provided your application may be refused and or delayed. Please complete all relevant details using **BLOCK** letters and black or blue pen.

Dam

Suffix

Foal Year of Birth

Complete Part C, D and E Please note the combined share percentage of all owners must equal 100%.

Part A Individual Owner (When completing this section you are required to provide ALL the information requested below)

Date of Birth / / Mr Mrs Miss Ms Other If other, please specify

Surname

Given Names

Part B Registered Syndicate (When completing this section you are required to provide ALL the information requested below)

Registered Syndicate Name

Syndicate Manager

Tick this box if the syndicate has owned horses previously and you wish to add this horse to the syndicate.

Part C Company, Firm or Stud (When completing this section you are required to provide ALL the information requested below)

Company, Firm or Stud Name

Name of Representative

Tick this box if the horse is to be leased or will not be racing.

Part D Contact Details, GST Status & Bank Account Details (When completing this section you are required to provide ALL the information requested below.)

Only complete bank details if split payment is required. All other details must be completed)

Postal Address Post Code

Daytime Phone Mobile * Mobile or Email MUST be supplied.

Email

Is this entity GST registered for racing purposes? No – I am a hobbyist Yes If yes, please supply ABN

Name Bank Account BSB Account Number

Part E Owner Declaration

By signing this application I agree and declare that:

- As a condition of the registration of this horse, I will refrain from taking any step or authorising any party to take any step which will interfere with the important role that RA, the principal racing authorities and the race clubs play in the administration of racing and in the provision of racing materials, including without limitation: (a) preventing or restricting any of these entities from using or publishing the name of this horse; (b) taking any step which will or may adversely affect or otherwise limit their administration, promotion and reporting of thoroughbred racing; (c) applying to register as a trade mark the name, image, jockey silks or any other indicia associated with this horse; or (d) asserting or bringing any claim of ownership of any intellectual property rights in the name, image, jockey silks or other indicia associated with this horse.
- As a condition of the registration of this horse (Rule AR-18A (1), and in consideration for the registration of this horse, I hereby agree that Racing Australia Limited (ACN 105 994 330) owns all rights, title or interest (including but not limited to copyright, goodwill and reputation) in the name, image, jockey silks and any other indicia associated with the horse, whether existing before or after I sign this form.
- If I own by force of law any right, title or interest (including but not limited to copyright) in the name, image, jockey silks and any other indicia associated with this horse, whether existing before or after I sign this form, I hereby and unconditionally assign all such intellectual property rights to Racing Australia Limited (ACN 105 994 330) in consideration for the registration of this horse.
- In consideration for the assignment and undertakings in Rule AR 18A (1), Rule AR 18A (2) provides for Racing Australia grant to the owner(s) a non-exclusive, royalty-free and transferable licence to:
 - Use the name, image, jockey silks and other indicia associated with the horse the subject of this form where Racing Australia owns the intellectual property in such indicia for any purpose related to racing, training, promoting and otherwise dealing with the horse, including merchandising; and
 - Sub-license the same to any other person.
- As a condition of the registration of this horse, I will comply with and be bound by the Australian Rules of Racing and the Local Rules, Regulations, By-Laws and Conditions of the Principal Racing Authority in whose State or Territory I reside or in which the horse shall race.
- The names on this form fully disclose the true, complete and accurate ownership of the horse.

- I am over the age of 18 years at the time of signing this application.
- I have read and understood the sections on pages 1 & 2 entitled 'Fitness and Propriety of Applicants', 'Privacy and Personal Information', 'GST Declaration', 'Appointment of Manager' and 'Assignment and Licence'.
- Before signing a Transfer of Ownership or Change of Share % form on behalf of any remaining owners, I will notify all such owners of the changes to the ownership composition and structure.
- I consent to any future changes to the ownership composition and structure, provided there are no changes to the share percentage of each remaining owner.
- if there is a change to the ownership composition and structure, but I am a remaining owner and there is no change to my share percentage, I authorise the owner who is the manager at that time (if it is not me) to sign any Transfer of Ownership and Change of Share % forms on my behalf.
- RA, or any Principal Racing Authority to the maximum extent permitted by law, is not liable to make any payment for any claim, loss or liability that may arise from the manager (whether me or a future manager) signing on behalf of another person.
- I indemnify RA, or any Principal Racing Authority, against any claim, loss, damage, liability, cost or expense that arises from me signing a Transfer of Ownership or Change of Share % form on behalf of another person.

Signature Share %
Date