



**Racing
Victoria**

TRAINER LICENSING POLICY

Updated: 5 April 2017

CONTENTS

1. PRELIMINARY	1
1.1 Purpose and Authorising Provision.....	1
1.2 Commencement and Transitional Provisions	1
2. DEFINITIONS AND INTERPRETATION	1
2.1 Definitions	1
2.2 Interpretation.....	2
3. GENERAL REQUIREMENTS FOR GRANT OF TRAINER LICENSES	3
4. GENERAL A TRAINER LICENCE.....	4
4.1 Training Experience	4
4.2 Criteria	4
4.3 Licence Conditions.....	4
4.4 Annual Licence Fee	5
4.5 Entitlements	5
5. GENERAL TRAINER LICENCE	6
5.1 Training Experience	6
5.2 Criteria	6
5.3 Licence Conditions.....	8
5.4 Annual Licence Fee	8
5.5 Entitlement.....	8
6. RESTRICTED TRAINER LICENCE.....	9
6.1 Training Experience	9
6.2 Criteria	9
6.3 Licence Conditions.....	10
6.4 Annual Licence Fee	10
6.5 Entitlement.....	10
7. PRE-TRAINER LICENCE	11
7.1 Training Experience	11
7.2 Criteria	11
7.3 Licence Conditions.....	12
7.4 Annual Licence Fee	12
7.5 Entitlement.....	12
8. VISITING TRAINER LICENCE	13
8.1 Training Experience	13
8.2 Criteria	13
8.3 Licence Conditions.....	13
8.4 Punishment for breaches.....	14
8.5 Annual Licence Fee	14
8.6 Entitlement.....	14
9. TRAINING PARTNERSHIP LICENCE.....	15

9.1	Training Experience	15
9.2	Criteria	15
9.3	Licence Conditions.....	15
9.4	Annual Licence Fee (in addition to individual General A or General Trainer Licence Fee)	16
9.5	Entitlements and (in addition to individual General A or General Trainer Licence Fee)	16
10.	JUMPS TRAINER LICENCE	17
10.1	Training Experience	17
10.2	Criteria	17
10.3	Licence Conditions.....	17
10.4	Annual Licence Fee (in addition to Restricted, General, General A or Visiting Trainer Licence Fee).....	17
10.5	Entitlements (in addition to individual General A or General Trainer Licence Fee)	17
11.	APPLICATIONS TO UPGRADE A LICENCE	18
11.1	Upgrade to General A Trainer Licence from General Trainer Licence	18
11.2	Upgrade to General Trainer Licence from Restricted Trainer Licence	18
11.3	Upgrade to Restricted Trainer Licence from Pre-Trainer Licence	19
11.2	Upgrade to General Trainer Licence from Restricted Trainer Licence	17
11.3	Upgrade to Restricted Trainer Licence from Pre-Trainer Licence	18

1. PRELIMINARY

1.1 Purpose and Authorising Provision

This Racing Victoria Licensing Policy is made pursuant to LR 35A(3) and it sets out the requirements that a person must satisfy to be granted a licence to train under LR 35A, and the conditions upon which a person may hold a licence.

An updated copy of the Rules of Racing can be located at the Racing Victoria Website –

1.2 Commencement and Transitional Provisions

These Licence Rules come into operation and effect on and from 1 August 2009.

Unless otherwise provided for, all training licences, permits, registrations, authorisations and any other rights or privileges granted under or pursuant to LR 35A or LR 35B prior to 1 August 2009 are deemed to continue in full force and effect until their expiration.

2. DEFINITIONS AND INTERPRETATION

2.1 Definitions

In this Policy:

“Accountant Reference” means a reference provided by a Chartered Accountant or a Certified Practising Accountant regarding the financial affairs of an Applicant.

“Applicant” means the applicant for a licence to train pursuant to this Policy.

“Carnival Days” means in relation to race meetings conducted by the:

- (a) Victoria Racing Club:
 - (i) Victoria Derby Day;
 - (ii) Melbourne Cup Day; Oaks Day; and
 - (iii) Stakes Day;
- (b) Melbourne Racing Club: Caulfield Cup Day; and
- (c) Moonee Valley Racing Club: Cox Plate Day.

“Criminal Record Check” means a National Police Certificate or recognised equivalent.

“Equine Pursuits” means any horse training or riding/driving in an unlicensed or registered capacity such as Performance horse training or riding, Standardbred training or driving or Pre-Training/Breaking.

“Immediate Family Members” means a Trainer’s:

- (a) legal spouse;
- (b) de facto spouse if they have co-habited for more than two years, confirmation by way of a Statutory Declaration, must be submitted in writing;
- (c) children or step-children over 18 years;
- (d) parent or step-parent;
- (e) siblings or step-siblings;
- (f) grandparents or step-grandparents; and
- (g) Other family members approved by the Stewards.

“Jump out” means a trial, other than an official trial, organised, supervised and controlled by a Club or the management of a recognised training track, which is started from barrier stalls, and is conducted in accordance with any conditions set by the Principal Racing Authority.

“Jumps Review Panel” means the Jumps Review Panel established and maintained in accordance with LR 59.

“Official Trial” means a trial –

- (a) that is approved and advertised by the Principal Racing Authority;
- (b) that is conducted in accordance with the conditions set by the Principal Racing Authority;
- (c) that is supervised by the Stewards; and
- (d) for which official entries are taken and results are officially recorded.

“Prospective Owner Reference” means a reference from a person or syndicate who has a bona fide intention to become an owner of a horse or horses in connection with the applicant should the applicant obtain a trainer’s licence.

“Racing Disciplinary History Assessment” means an assessment by Racing Victoria of an Applicant’s or trainer’s racing disciplinary record in Victoria or other relevant jurisdictions as required. Relevant considerations in this assessment include, but are not limited to:

- (a) an Applicant’s or trainer’s history of compliance with Rules of Racing;
- (b) any penalties received by an Applicant or trainer for breaches of Rules of Racing; and
- (c) The existence of current charges against an Applicant or trainer and/or investigations into potential breaches of Rules of Racing.

“Registered Racing Manager” means a person employed by a Trainer as a racing manager who assists with the daily operations in a racing office and is registered by Racing Victoria pursuant to the Rules of Racing.

“Registered Stablehand” means a person employed by a Trainer as a stablehand in connection with the training or care of racehorses who is registered by Racing Victoria pursuant to the Rules of Racing.

“Registered Trainer’s Assistant” (previously Foreperson and Assistant Trainer) means a person employed by a Trainer as a Trainer’s Assistant and registered by Racing Victoria pursuant to the Rules of Racing.

“Supplier Credit Reference” means a reference provided by a person who is a product or service supplier to Applicant in respect of the Applicant’s creditworthiness.

“Stable and Facility Inspection” means an inspection conducted by Racing Victoria to determine the suitability of an Applicant’s training facilities (with reference to compliance under the Occupational Health and Safety Act 2004) for the purpose of training thoroughbred racehorses.

“Track work” means any training activity, excluding an official trial or jump-out, undertaken by a racehorse in the care of a trainer on a racecourse, recognised training track, private training establishment or elsewhere.

“Trainer” means the holder of a licence to train issued by Racing Victoria pursuant to this Policy and the Rules of Racing.

“Training Record Assessment” means an assessment by Racing Victoria of an Applicant’s prior training record, including number of starters and number of winners.

“Unrestricted” means an open or unlimited licence to train equines for racing purposes.

“Work Reference” means a reference provided in respect of an Applicant by a previous employer regarding the Applicant’s standard of work and other relevant factors.

2.2 Interpretation

Unless the context requires to the contrary words and expressions used in this Policy have the meaning given to them in the Rules of Racing.

3. GENERAL REQUIREMENTS FOR GRANT OF TRAINER LICENSES

In addition to any specific requirements set out in this Policy or in the Rules of Racing, it is a requirement for the grant of any licence to train that the person:

- (a) **Experience:** has the practical experience reasonably required to carry on the vocation of a trainer;
- (b) **Age:** is at least 18 years of age;
- (c) **Stables:** has proper stable facilities providing security and safety for staff and horses (with reference to compliance under the Occupational Health and Safety Act 2004);
- (d) **Financial resources:** has adequate financial resources to carry on training activities without material risk of being unable to pay his or her debts as and when they fall due;
- (e) **Character:** has satisfied Racing Victoria that he or she is of good reputation and character and is a fit and proper person to hold a licence to train; and
- (f) **Examination:** passes the written trainers examination conducted by Racing Victoria.
 - (i) It is also noted that, in accordance with LR 35A(4), the Directors of Racing Victoria may relieve an applicant for a licence to train from any of the requirements set out in this Policy. Should an Applicant wish to seek relief from any requirement, the Applicant must apply in writing to Racing Victoria and outline the relief sought, and reasons for the request for relief.

Further, in accordance with LR 35A(5), the Directors of Racing Victoria may:

- (a) refuse to grant a licence to train; or
- (b) Grant a licence to train subject to terms and conditions as the Directors see fit, including, but not limited to, restrictions regarding duration or location or both.

All Trainer Licenses expire on 31 July next after issue, unless cancelled, suspended or revoked.

4. GENERAL A TRAINER LICENCE

Background to General A Trainer Licence

The General A Trainer Licence is generally only granted to the most senior and experienced trainers. Subject to meeting the minimum required starters and winners for a season (see 4.3(d) below), a General A Trainer has no limit as to the number of horses he or she may train, and is eligible for premium annual entitlements and benefits.

Requirements for Grant of General A Trainer Licence

To be granted a General A Trainer Licence, an Applicant must:

- (a) complete the General A Trainer Licence Application Form, together with payment of the annual licence fee; and
- (b) satisfy Racing Victoria that he or she is a suitable person to be granted a licence by meeting the Training Experience and Criteria requirements outlined at 4.1 and 4.2 below.

Further, an Applicant for a General A Licence must acknowledge and agree to adhere to the specific Licence Conditions, outlined at 4.3 below. In its discretion, Racing Victoria may impose additional Licence Conditions on a Licence at any time.

4.1 Training Experience

An Applicant must hold, or previously have held, an unrestricted thoroughbred trainer Licence for a minimum 10 years.

4.2 Criteria

In assessing whether an applicant is suitable to be granted a licence, Racing Victoria will take into account the following criteria:

- (a) *Qualification:* The Applicant must have attained a Diploma in Racing (Thoroughbred Trainer) qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).
- (b) *Assessments:*
 - (i) Racing Disciplinary History Assessment;
 - (ii) Criminal Record Check;
 - (iii) Stable and Facility Inspection; and
 - (iv) Training Record Assessment.
- (c) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (i) Three (3) Supplier Credit References;
 - (ii) Accountant Reference.
- (e)..... *Interview:* The Applicant must complete a satisfactory interview with Racing Victoria. *Prospective Owner References:* The Applicant must provide three (3) Prospective Owner References to the satisfaction of Racing Victoria.
- (f) *Interview:* The Applicant must complete a satisfactory interview with Racing Victoria.

4.3 Licence Conditions

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following a grant of a General A Trainer Licence, the conditions set out below will apply:

- (a) *Stable must be licensed:* The Trainer's stable location is, and must at all times be, considered licensed premises under the Rules of Racing.
- (b) *Use of training venues:* The Trainer may use Country and/or Metropolitan Club training venues subject to payment of a Venue Access Fee to, and permission from, the relevant training venue.
- (c) *Entry into jumps outs, trials and races:* The Trainer may enter horses for:
 - (i) Club Jump Outs (pending individual Club approval);
 - (ii) Official Trials; and
 - (iii) Racing.
- (d) *Minimum runners and winners:* The Trainer is required to have a minimum of:
 - (i) 50 starters in Victoria; or
 - (ii) 10 winners in Victoria
 per Racing Season (excluding Picnic races).
 Note: This condition does not apply to persons who have held a General A Licence for 10 years or more.
- (e) *No Riding at Picnic Races:* The Trainer may not ride at Picnic race meetings.

4.4 Annual Licence Fee

An Applicant must pay a total Annual Licence Fee that comprises of the RV Licence fee, Public Liability Insurance Cover; and a 12-month subscription to "Inside Racing" magazine.

Once payment has been made, no refund will be provided.

4.5 Entitlements

Subject to ongoing compliance with the terms and conditions of his or her licence, a General A Trainer Licence receives, for the period of the licence, the following annual entitlements and benefits:

- (a) Trainer Identification Card (permits access to Members' Enclosures);
- (b) Guest Industry Members' Card (permits access to Members' Enclosures excluding Carnival Days) and;
- (c) Permitted to make application to register a stable Racing Manager (Trainer makes the application by completing the 'Stable Employee' application form).

5. GENERAL TRAINER LICENCE

Background to General Trainer Licence

The General Trainer Licence is granted to experienced professional trainers. Subject to meeting the minimum required starters and winners for a season (see 5.3(d) below), the holder of a General Trainer Licence has no limit to the number of horses he or she may train, and is eligible for annual benefits and entitlements.

Requirements for Grant of General Trainer Licence

To be granted a General Trainer Licence, an Applicant must:

- (a) complete the General Trainer Licence Application Form, together with payment of the annual licence fee; and
- (b) satisfy Racing Victoria that he or she is a suitable person to be granted a licence by meeting the Training Experience and Criteria requirements outlined at 5.1 and 5.2 below.

Further, an Applicant for a General Trainer Licence must acknowledge and agree to adhere to the specific Licence Conditions, outlined at 5.3 below. In its discretion, Racing Victoria may impose additional Licence Conditions on a Licence at any time.

5.1 Training Experience

An Applicant must:

- (a) hold, or previously have held, an unrestricted interstate or overseas thoroughbred trainer licence for a minimum of two (2) years; or
- (b) have held an unrestricted Racing Victoria Trainer Licence (or recognised equivalent) in the past.

5.2 Criteria

In assessing whether an applicant is suitable to be granted a licence, Racing Victoria will take into account the following criteria:

- (a) *Qualification:* The Applicant must have attained a Diploma in Racing (Thoroughbred Trainer) qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).
- (b) *Assessments:*
 - (i) Racing Disciplinary History Assessment;
 - (ii) Criminal Record Check;
 - (iii) Stable and Facility Inspection; and
 - (iv) Training Record Assessment.
- (c) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (i) Three (3) Supplier Credit References;
 - (ii) Accountant Reference;
- (d) *Prospective Owner References:* The Applicant must provide three (3) Prospective Owner References to the satisfaction of Racing Victoria.
- (e) *Interview:* The Applicant must complete a satisfactory interview with Racing Victoria.
- (c) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (i) three (3) Supplier Credit References; and
 - (ii) Accountant Reference.
- (d) *Prospective Owner References:* The Applicant must provide three (3) Prospective Owner References to the satisfaction of Racing Victoria.

- (e) *Interview:* The Applicant must complete a satisfactory interview with Racing Victoria.

5.3 Licence Conditions

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following a grant of a General Trainer Licence, the conditions set out below will apply:

- (a) *Stable must be licensed:* The Trainer's stable location is, and must at all times be, considered licensed premises under the Rules of Racing.
- (b) *Use of training venues:* The Trainer may use Country and/or Metropolitan Club training venues subject to payment of a Venue Access Fee to, and permission from, the relevant training venue.
- (c) *Entry into jumps outs, trials and races:* The Trainer may enter horses for:
 - (i) Club Jump Outs (pending individual Club approval);
 - (ii) Official Trials; and
 - (iii) Racing.
- (d) *Minimum runners and winners:* The Trainer is required to have a minimum of:
 - (i) 15 starters in Victoria; or
 - (ii) 3 winners in Victoria, per Racing Season (excluding Picnic races).
- (e) *No Riding at Picnic Races:* The Trainer may not ride at Picnic races.

5.4 Annual Licence Fee

An Applicant must pay a total Annual Licence Fee that comprises of the RV Licence fee, Public Liability Insurance Cover; and a 12-month subscription to "Inside Racing" magazine.

Once payment has been made, no refund will be provided.

5.5 Entitlement

Subject to ongoing compliance with the terms and conditions of his or her licence, the holder of a General Trainer Licence receives, for the period of the licence, a Trainer Identification Card.

6. RESTRICTED TRAINER LICENCE

Background to Restricted Trainer Licence

The Restricted Trainer Licence is generally granted to:

- (a) relatively inexperienced trainers or persons, including those seeking to obtain a General Trainer Licence;
- (b) persons with limited training operations, including persons who are owner/trainers;
- (c) trainers of non-thoroughbred racehorses seeking to train thoroughbred racehorses.

The holder of a Restricted Trainer Licence has certain restrictions placed on his or her licence, including the number of horses he or she may train (detailed at 6.3 below).

Requirements for Grant of Restricted Trainer Licence

To be granted a Restricted Trainer Licence, an Applicant must:

- (a) complete the Restricted Trainer Licence Application Form, together with payment of the annual licence fee; and
- (b) satisfy Racing Victoria that he or she is a suitable person to be granted a licence by meeting the Training Experience and Criteria requirements outlined at 6.1 and 6.2 below.

Further, an Applicant for a Restricted Trainer Licence must acknowledge and agree to adhere to the specific Licence Conditions, outlined at 6.3 below. In its discretion, Racing Victoria may impose additional Licence Conditions on a Licence at any time.

6.1 Training Experience

An Applicant must:

- (a) hold, or previously have held, a Stablehand registration for a minimum of five (5) years (full-time equivalent);
- (b) hold, or previously have held, a Trainer's Assistant registration for a minimum of three (3) years (full-time equivalent);
- (c) hold, or previously have held, an Unrestricted Equine Trainer Licence (other than a thoroughbred trainer licence) for a minimum of three (3) years (full-time equivalent);
- (d) previously have held a Jockey A Licence (or recognised equivalent) for a minimum of two (2) years; or
- (e) previously have held a Racing Victoria Trainer Licence.

6.2 Criteria

In assessing whether an applicant is suitable to be granted a licence, Racing Victoria will take into account the following criteria:

- (a) *Qualification:* The Applicant must have attained a Certificate IV in Racing (Thoroughbred Trainer) qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).
- (b) *Assessments:*
 - (i) Racing Disciplinary History Assessment;
 - (ii) Criminal Record Check;
 - (iii) Stable and Facility Inspection; and
 - (iv) Training Record Assessment.
- (c) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (i) Three (3) Supplier Credit References;
 - (ii) Accountant Reference;
- (c) *Financial Resources References:* The Applicant must provide the following references to the satisfaction of Racing Victoria:

- (i) three (3) Supplier Credit References; and
 - (ii) Accountant Reference.
- (d) *Work References:* The Applicant must provide three (3) Work References to the satisfaction of Racing Victoria.
 - (e) *Prospective Owner Reference:* The Applicant must provide two (2) Prospective Owner References to the satisfaction of Racing Victoria.
 - (f) *Interview:* The Applicant must complete a satisfactory interview with Racing Victoria.
 - (g) *Jump-outs or Official Trials:* The applicant must present a runner in a Jump-out or Official Trial to the satisfaction of Stewards prior to the applicant nominating any horse in his or her care for racing. For these purposes, the applicant will be required to fully tack their runner under the observance of the Steward at all times. Furthermore, the horses' performance in the Jump-out or Official Trial will be assessed by the Steward.

6.3 Licence Conditions

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following a grant of Restricted Trainer Licence, the conditions set out below will apply:

- (a) *Stable must be licensed:* The Trainer's stable location is, and must at all times be, considered licensed premises under the Rules of Racing.
- (b) *Use of training venues:* The Trainer may use Country and/or Metropolitan Club training venues subject to payment of a Venue Access Fee to, and permission from, the relevant training venue.
- (c) *Entry into jumps outs, trials and races:* The Trainer may enter horses for:
 - (i) Club Jump Outs (pending individual Club approval);
 - (ii) Official Trials; and
 - (iii) Racing.
- (d) *Minimum runners and winners:* The Trainer is required to have a minimum of:
 - (i) 5 starters in Victoria; or
 - (ii) 1 winner in Victoria
 per Racing Season.
- (e) *Maximum number of horses for training:* The Trainer may only train a maximum of five (5) individual horses for owners other than Immediate Family Members. A Trainer is not limited in the number of horses he or she can train for in which he or she has an interest as an owner or lessee, either alone or together with Immediate Family Members.
- (f) *Riding at Picnic Races:* The Trainer may ride at Picnic races, subject to meeting the jockey licensing requirements under the Rules of Racing.

6.4 Annual Licence Fee

An Applicant must pay a total Annual Licence Fee that comprises of the RV Licence fee, Public Liability Insurance Cover; and a 12-month subscription to "Inside Racing" magazine.

Once payment has been made, no refund will be provided.

6.5 Entitlement

Subject to ongoing compliance with the terms and conditions of his or her licence, the holder of a Restricted Trainer Licence receives, for the period of the licence, a Trainer Identification Card.

7. PRE-TRAINER LICENCE

Background to Pre-Trainer Licence

The Pre-Trainer Licence is generally granted to persons seeking to prepare and educate horses prior to the horse being sent to the trainer for official trialing and/or racing.

The holder of a Pre-Trainer Licence has certain restrictions placed on his or her licence, particularly related to the ability to enter horses for trials or races (detailed at 7.3 below).

Requirements for Grant of Pre-Trainer Licence

To be granted a Pre-Trainer Licence, an Applicant must:

- (a) complete the Pre-Trainer Licence Application Form, together with payment of the annual licence fee; and
- (b) satisfy Racing Victoria that he or she is a suitable person to be granted a licence by meeting the Training Experience and Criteria requirements outlined at 7.1 and 7.2 below.

Further, an Applicant for a Pre-Trainer Licence must acknowledge and agree to adhere to the specific Licence Conditions, outlined at 7.3 below. In its discretion, Racing Victoria may impose additional Licence Conditions on a Licence at any time.

7.1 Training Experience

An Applicant must:

- (a) be, or previously have been, a Registered Stablehand for a minimum of three (3) years (full-time equivalent);
- (b) be, or previously have been, a Registered Trainer's Assistant for a minimum of one (1) year (full-time equivalent);
- (c) hold, or previously have held, an Unrestricted Equine Trainer Licence (other than a thoroughbred trainer Licence) for a minimum of one (1) year (full-time equivalent);
- (d) have experience in Equine Pursuits (in a non-Licensed capacity) for a minimum of five (5) years (full-time equivalent);
- (e) previously have held a Jockey A Licence (or recognised equivalent);
- (f) previously have held an interstate or overseas thoroughbred Trainer Licence or
- (g) previously have held a Racing Victoria Trainer Licence.

7.2 Criteria

In assessing whether an applicant is suitable to be granted a licence, Racing Victoria will take into account the following criteria:

- (a) *Training Units:* The Person must have undertaken and successfully completed, the following required training units set out in the National Racing Industry Training Package (or recognised equivalent units):
 - Unit RGRCMN201A – Follow OH&S Procedures & Observe Environmental Work Practices; and;
 - ... Unit RGRPSH201A – Handle Horses.
- (b)..... *Assessments:*
 - (i) Racing Disciplinary History Assessment;
 - (ii) Criminal Record Check; and
 - (iii) Stable and Facility Inspection.
- (c) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (i) One (1) Supplier Credit Reference; and
- (c) *Financial Resources Reference:* The Applicant must provide one (1) Supplier Credit Reference to the satisfaction of Racing Victoria.

- (d) *Work References:* The Applicant must provide two (2) Work References to the satisfaction of Racing Victoria.
- (e) *Interview:* The Applicant must complete a satisfactory interview with Racing Victoria.
- (f) *Track work:* The applicant must present his or her horse for track work to the satisfaction of Stewards. For these purposes, the applicant will be required to fully tack their horse under the observance of the Steward at all times (Note: tack duties may be completed at the applicant's nominated stables or at a registered training venue).

7.3 Licence Conditions

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following a grant of a Pre-Trainer Licence, the conditions set out below will apply:

- (i) *Stable must be licensed:* The Pre-Trainer's stable location is, and must at all times be, considered licensed premises under the Rules of Racing.
- (ii) *Use of training venues:* The Pre-Trainer may use Country and/or Metropolitan Club training venues subject to payment of a Venue Access Fee to, and permission from, the relevant training venue.
- (iii) *Entry into club jumps outs (pending individual Club approval):* The Pre-Trainer may enter horses for club jump outs. The Pre-Trainer may not enter any horses for official trials or racing.
- (iv) *Riding at Picnic Races:* The Trainer may ride at Picnic races, subject to meeting the jockey licensing requirements under the Rules of Racing.

7.4 Annual Licence Fee

An Applicant must pay a total Annual Licence Fee that comprises of the RV Licence fee, and Public Liability Insurance Cover.

Once payment has been made, no refund will be provided.

7.5 Entitlement

Subject to ongoing compliance with the terms and conditions of his or her licence, the holder of a Pre-Trainer Licence receives, for the period of the licence, a Trainer Identification Card;

8. VISITING TRAINER LICENCE

Background to Visiting Trainer Licence

The Visiting Trainer Licence is generally granted to trainers from interstate or overseas seeking to train and race horses in Victoria for a limited period of time.

The holder of a Visiting Trainer Licence has certain restrictions placed on his or her licence, particularly related to the time allowed to train in Victoria (detailed at 8.3(f) below).

Requirements for Grant of Visiting Trainer Licence

To be granted a Visiting Trainer Licence, an Applicant must:

- (a) complete the Visiting Trainer Licence Application Form, together with payment of the Annual Licence Fee (if any); and
- (b) satisfy Racing Victoria that he or she is a suitable person to be granted a licence by meeting the Training Experience and Criteria requirements outlined at 8.1 and 8.2 below.

Further, an Applicant for a Visiting Trainer Licence must acknowledge and agree to adhere to the specific Licence Conditions, outlined at 8.3 below. In its discretion, Racing Victoria may impose additional Licence Conditions on a Licence at any time.

8.1 Training Experience

An Applicant must be the holder of a current thoroughbred trainer licence issued by an:

- (a) interstate Principal Racing Authority; or
- (b) overseas racing authority.

8.2 Criteria

In assessing whether an applicant is suitable to be granted a licence, Racing Victoria will take into account the following criteria:

- (a) *Assessment:*
 - (i) Racing Disciplinary History Assessment.

8.3 Licence Conditions

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following a grant of Visiting Trainer Licence, the conditions set out below will apply:

- (a) *Required Contact and Permissions:* Prior to arrival in Victoria of any horse trained by a Visiting Trainer, but not later than final acceptance time where such horse has been entered to race, a Visiting Trainer must contact:
 - (i) the Racecourse Manager of the Racecourse or Training Centre where the trainer intends to work his or her horses, to obtain permission for use of facilities available;
 - (ii) Racing Victoria and complete a 'Horse Movement/Visiting Trainer' form.
- (b) The Visiting Trainer is not permitted to train any horses other than those brought with the Visiting Trainer to Victoria.
- (c) *Stable must be licensed:* The stable location from which the Visiting Trainer trains is, and must at all times be, considered licensed premises under the Rules of Racing.
- (d) *Use of training venues:* The Visiting Trainer may use Country and/or Metropolitan Club training venues subject to payment of a Venue Access Fee to, and permission from, the relevant training venue.

- (e) *Entry into jumps outs, trials and races:* The Visiting Trainer may enter horses for:
 - (i) club jump outs (pending individual Club approval);
 - (ii) official trials; and
 - (iii) racing.

- (f) *Limitation of time period for visit:* Except with the prior written approval of Racing Victoria, a Visiting Trainer may only train in Victoria under a Visiting Trainer Licence for a maximum period:
 - (i) between 1 December and 31 July of two (2) months; and
 - (ii) between 1 August and 30 November of three (3) months.

8.4 Punishment for breaches

A Visiting Trainer may be punished for breaching the conditions 8.3(a) or 8.3(b) above, and any horse concerned may have its nomination refused, be withdrawn from any race it is entered in, or be disqualified.

8.5 Annual Licence Fee

An Applicant for a Visiting Trainer Licence who is from overseas must pay a Licence Fee of **\$250.00**. The Annual Fee covers the Visiting Trainers' Public Liability Insurance Cover of \$20m.

Once payment has been made, no refund will be provided.

8.6 Entitlement

Subject to ongoing compliance with the terms and conditions of his or her licence, the holder of a Visiting Trainer Licence receives, for the period of the licence, a Trainer Identification Card.

9. TRAINING PARTNERSHIP LICENCE

Background to Training Partnership Licence

The Training Partnership Licence is granted to trainers holding a General Trainer Licence or a General A Trainer Licence who seek to train as a partnership.

Requirements for Grant of Training Partnership Licence

To be granted a Training Partnership Licence, the Applicants, being up to three (3) General A or General Trainers, must:

- (a) complete the Training Partnership Licence Application Form; and
- (b) satisfy Racing Victoria that they are suitable persons to be granted a licence by meeting the Training Experience and Criteria requirements outlined at 9.1 and 9.2 below.

Further, Applicants for a Training Partnership Licence must acknowledge and agree to adhere to the specific Licence Conditions, outlined at 9.3 below. In its discretion, Racing Victoria may impose additional Licence Conditions on a Licence at any time.

9.1 Training Experience

Applicants must be the holders of a current General or General A Trainer Licence issued by Racing Victoria pursuant to LR 35A.

9.2 Criteria

In assessing whether an applicant is suitable to be granted a licence, Racing Victoria will take into account the following criteria:

- (a) *Assessment:* (In addition to the requirements of an individual licence)
 - (i) Racing Disciplinary History Assessment;
 - (ii) Criminal Record Check; and
 - (iii) Training Record Assessment.
- (b) *Bona fides of training partnership:* Racing Victoria must be satisfied as to the bona fides of the training partnership.

9.3 Licence Conditions

In addition to any obligations and conditions as provided by the Rules, the Applicants must agree and acknowledge that, following a grant of Training Partnership Licence, the conditions set out below will apply:

- (a) *Stable must be licensed:* The Training Partnership's stable location is, and must at all times be, considered licensed premises under the Rules of Racing.
- (b) *Use of training venues:* The Training Partnership may use Country and/or Metropolitan Club training venues subject to payment of a Venue Access Fee to, and permission from, the relevant training venue.
- (c) *Entry into jumps outs, trials and races:* The Training Partnership may enter horses for:
 - (i) club jump outs (pending individual Club approval);
 - (ii) official trials; and
 - (iii) racing.
- (d) *Minimum number of horses to be trained:* A minimum of 25 horses must be trained by the Training Partnership.

9.4 Annual Licence Fee (in addition to individual General A or General Trainer Licence Fee)

Nil.

9.5 Entitlements and (in addition to individual General A or General Trainer Licence Fee)

Nil.

10. JUMPS TRAINER LICENCE

Background to Jumps Trainer Licence

The Jumps Trainer Licence is granted to trainers holding a Restricted Trainer Licence, General Trainer Licence or General A Trainer Licence who seek to train horses for jumps races.

Requirements for Grant of Jumps Trainer Licence

To be granted a Jumps Trainer Licence, the Applicant, must:

- (a) complete the Jumps Trainer Licence Application Form; and
- (b) satisfy Racing Victoria that he or she is a suitable person to be granted a licence by meeting the Training Experience and Criteria requirements outlined at 10.1 and 10.2 below.

Further, an Applicant for a Jumps Trainer Licence must acknowledge and agree to adhere to the specific Licence Conditions, outlined at 10.3 below. In its discretion, Racing Victoria may impose additional Licence Conditions on a Licence at any time.

10.1 Training Experience

An Applicant must be the holder of a current Restricted, General, General A or Visiting Trainer Licence issued by Racing Victoria pursuant to LR 35A.

10.2 Criteria

- (a) *Training Units*: The Applicant must have completed the following training units in accordance with the National Racing Training Package (or recognised equivalent training units):
 - (i) "Train Horses for Jumps Racing".[Note: this qualification requirement applies regardless of whether a person holds a jumping trainers permit issued in accordance with the replaced LR 35B(7)].

10.3 Licence Conditions

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following a grant of Jumps Trainer Licence, the conditions set out below will apply (in addition to those imposed on the Trainer's Restricted, General, General A or Visiting Trainer Licence):

- (a) *Entry into jumps trials and jumps races*: The Jumps Trainer may enter horses for:
 - (i) official jumps trials; and
 - (ii) jumps races.
- (b) *Licence to Lapse*: A Jumps Trainer Licence will lapse if the holder of the Licence does not present a horse to participate in a jumps race for a period of 24 months.
- (c) *Ongoing education and training*: The Jumps Trainer must undertake any ongoing education and training as prescribed by the standard set by the Jumps Review Panel.

10.4 Annual Licence Fee (in addition to Restricted, General, General A or Visiting Trainer Licence Fee)

Nil.

10.5 Entitlements (in addition to individual General A or General Trainer Licence Fee)

Nil.

11. APPLICATIONS TO UPGRADE A LICENCE

A Licensed Trainer may not apply for an upgrade of his or her current Licence within twelve (12) months of having been granted that Licence.

Where an application to upgrade a Licence is approved by Racing Victoria, the Trainer must pay the prevailing Annual Licence Fee prior to the upgraded Licence taking effect.

11.1 Upgrade to General A Trainer Licence from General Trainer Licence

A person holding a General Trainer Licence may apply for a General A Trainer Licence provided that the person has held an Unrestricted Thoroughbred Trainer Licence for a minimum of ten (10) years.

In assessing whether an applicant is suitable to be granted an upgrade of licence, Racing Victoria will take into account the following criteria:

- (i) *Qualification:* The Applicant must have attained a Diploma in Racing (Thoroughbred Trainer) qualification in accordance with the National Racing Training Package (or recognised equivalent qualification)
- (ii) *Assessments:*
 - (a) Racing Disciplinary History Assessment;
 - (b) Criminal Record Check; and
 - (c) Training Record Assessment.
- (iii) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (a) Three (3) Supplier Credit References;
 - (b) Accountant Reference;
- (iii) *Financial Resources References: -* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (a) three (3) Supplier Credit References; and
 - (b) Accountant Reference.
- (v) *Prospective Owner References:* The Applicant must provide three (3) Prospective Owner References to the satisfaction of Racing Victoria.

11.2 Upgrade to General Trainer Licence from Restricted Trainer Licence

A person holding a Restricted Trainer Licence may apply for a General Trainer Licence provided the person has held a Restricted Trainer Licence for a minimum two (2) years.

In assessing whether an applicant is suitable to be granted an upgrade of licence, Racing Victoria will take into account the following criteria:

- (i) *Qualification:* The Applicant must have attained a Diploma in Racing (Thoroughbred Trainer) qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).
- (ii) *Assessments:*
 - (a) Racing Disciplinary History Assessment;
 - (b) Criminal Record Check;
 - (c) Training Record Assessment.
- (iii) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (a) Three (3) Supplier Credit References;
 - (b) Accountant Reference;

- (iv).... *Prospective Owner References:* The Applicant must provide three (3) Prospective Owner References to the satisfaction of Racing Victoria.
- (iii) *Financial Resources References:* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (a) three (3) Supplier Credit References; and
 - (b) Certified Practising Accountant Reference.
- (iv) *Prospective Owner References:* The Applicant must provide three (3) Prospective Owner References to the satisfaction of Racing Victoria.

11.3 Upgrade to Restricted Trainer Licence from Pre-Trainer Licence

A person holding a Pre-Trainer Licence may apply for a Restricted Trainer Licence provided the person has held a Pre-Trainer Licence for a minimum two (2) years

In assessing whether an applicant is suitable to be granted an upgrade of licence, Racing Victoria will take into account the following criteria:

- (i) *Qualification:* The Applicant must have attained a Certificate IV in Racing (Thoroughbred Trainer) qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).
- (ii) *Assessments:*
 - (a) Racing Disciplinary History Assessment;
 - (b) Criminal Record Check
- (iii) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 - (a) Three (3) Supplier Credit References;
 - (b) Accountant Reference;
- (iv) *Work References:* The Applicant must provide three (3) Work References to the satisfaction of Racing Victoria.
- (v) *Prospective Owner Reference:* The Applicant must provide two (2) Prospective Owner References to the satisfaction of Racing Victoria.
- (iii) *Financial Resources References:-* The Applicant must provide the following references to the satisfaction of Racing Victoria:
 1. three (3) Supplier Credit References; and
 2. Accountant Reference.
- (iv) *Work References:* The Applicant must provide three (3) Work References to the satisfaction of Racing Victoria.
- (v) *Prospective Owner Reference:* The Applicant must provide one (1) Prospective Owner Reference to the satisfaction of Racing Victoria.
- (vii) *Interview:* The Applicant must complete a satisfactory interview with Racing Victoria.