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JOCKEY LICENSING POLICY

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1. PRELIMINARY

1.1 Purpose and Authorising Provision

This Racing Victoria Licensing Policy (**Policy**) sets out the requirements that a person must satisfy to be granted a licence to ride under LR 36B and LR 38A and the conditions upon which a person may hold a licence, permit or approval to ride.

An updated copy of the Rules of Racing (**Rules**) can be located at the Racing Victoria Website – www.rv.racing.com

1.2 Commencement and Transitional Provisions

These Licence Rules come into operation and effect on and from 1 July 2016.

Unless otherwise provided for, all riding licences, permits, approvals, authorisations and any other rights or privileges granted under or pursuant to the Rules prior to 1 July 2016 are deemed to continue in full force and effect until their expiration.

2. DEFINITIONS AND INTERPRETATION

2.1 Definitions

In this Policy:

“**Applicant**” means the applicant for a licence to ride pursuant to this Policy.

“**AR**” means an Australian Rule of Racing.

“**Licensing Panel**” has the same meaning as in the Racing Victoria Rules of Racing.

“**LR**” means a Local Rule of Racing of Racing Victoria.

“**Policy**” means the Jockey Licensing Policy pursuant to the Rules of Racing of Racing Victoria.

“**Rider Skills Panel**” has the same meaning as in the Racing Victoria Rules of Racing

2.2 Interpretation

Unless the context requires to the contrary, words and expressions used in this Policy have the meaning given to them in the Rules.

3. GENERAL REQUIREMENTS FOR THE GRANT OF JOCKEY LICENCES

In addition to any specific requirements set out in this Policy or in the Rules, it is a requirement for the grant of any licence to ride that the person:

- (a) **Experience:** has the practical, pre-requisite experience reasonably required to carry on the vocation of a jockey and to ride at the level permitted by the relevant licence.
- (b) **Skill:** has the ability necessary to carry on the vocation of a jockey and passes the various riding assessments conducted by Racing Victoria.
- (c) **Age:** is at least 15 years of age.
- (d) **Character:** is of good reputation and character and is a fit and proper person to hold a licence to ride, including adherence to Racing Victoria's Social Media Policy;
- (e) **Health and Fitness:** has the necessary health and fitness to hold a licence to ride, by completing:
 - (i) a medical assessment signed by a registered medical practitioner which certifies that the person meets the requirements of the "fitness to ride" guidelines (as set out by Racing Victoria's Chief Medical Officer);
 - (ii) a Cognitive (Brain Function) test;
 - (iii) an Exercise Research Australia (body weight) assessment:
 - (i) **Claim:** If the Applicant will be eligible to claim an allowance when race-riding, at the point of application, he or she ought present at a body weight that enables them to utilise their full allowance in races when engaged to ride on the **minimum top-weight** allotted in standard handicap races (at acceptance time) at race meetings commensurate to the level of riding permitted by the licence.
 - (ii) **Non-claim:** If the Applicant is not eligible to claim an allowance when race-riding, at the point of application, he or she should present at a body weight of no more than the **minimum top-weight** allotted in standard handicap races (at acceptance time) at race meetings commensurate to the level of riding permitted by the licence; and
 - (iv) any further medical assessments, as determined by Racing Victoria's Chief Medical Officer.
- (f) **Inherent Requirements:** has the inherent physical and mental traits required to carry on the vocation of a jockey.
- (g) **Intent:** intends to ride in Victorian races on a regular basis.
- (h) **Prescribed Forms:** completes the relevant forms, together with payment of the annual licence fee (if applicable), including:
 - (i) the Jockey Licence Application form, including its requirements (refunds are not provided);
 - (ii) Declarations: the Applicant must acknowledge and agree to be bound by this Policy and the Rules, and adhere to the specific "Licence Conditions" outlined in this Policy;
 - (iii) the Declaration Regarding Betting form; and
 - (iv) the Racing Victoria Code of Practice.
- (i) **Assessments:** has satisfied the following assessments:
 - (i) National Police Record Certificate;
 - (ii) Racing Disciplinary Record;
 - (iii) Race Riding Record;
 - (iv) Interview at Racing Victoria; and
 - (v) Knowledge of the Rules of Racing relating to Jockeys.

3.1 Categories of Licenses

An application for the grant of a Jockey licence may be made for the following categories:

- (a) Jockey;
- (b) Jumps Jockey 'A';
- (c) Jumps Jockey 'B';
- (d) Picnic Jockey;
- (e) Apprentice Jockey;
- (f) visiting equivalent of 3.1(a) to (e) inclusive; and
- (g) any other category as Racing Victoria allows from time to time.

3.2 Validity of Licenses

Once granted, Jockey licenses are valid from 1 August to 31 July each racing season.

3.3 Visiting Licenses

In addition to satisfying the "General Requirements for the Grant of Jockey Licenses" as outlined in part 3 of this Policy or in the Rules, it is a requirement for the grant of any visiting licence to ride that:

- (a) the person holds a current and active Jockey licence issued by his or her home-based Racing Authority;
- (b) the licence issued by the person's home-based Racing Authority must be identical to the riding level permitted by the licence category for which has been applied for within the application to Racing Victoria; and
- (c) if the person's home-based licence expires, is cancelled, revoked or becomes inactive for any reason, any licence issued by Racing Victoria will cease immediately and as a consequence, he or she will not be licensed to ride in any capacity within Victoria.

3.4 The Directors

The Directors of Racing Victoria may, in their discretion, impose additional licence conditions on any licence at any time. In addition, it may choose to exclude any of the requirements prescribed within this policy.

The Directors may, from time to time, prescribe educational and experience qualifications, or any other requirement as determined by Racing Victoria, which must be attained as a precondition to the grant of a licence to ride at race meetings.

Should an Applicant wish to seek relief from any requirement, the Applicant must apply in writing to Racing Victoria and outline the relief sought, and reasons for the request for relief.

The Directors, without giving any reasons, may:

- (a) refuse to grant a licence to ride; or
- (b) grant a licence subject to such terms and conditions as the Directors think fit, including, but not limited to, restrictions regarding duration, locality or both.

3.5 Revocation

The Directors may at any time revoke a licence to ride granted by them, including without limitation where the holder of the licence:

- (a) is not actively using the licence; or
- (b) ceases to meet the requirements to be granted a licence in accordance with this policy or the Rules.

3.6 Ineligibility

A person may not be granted or be able to hold a licence if that person is:

- (a) unable to meet all of the requirements prescribed within this policy, or any other requirements as determined by Racing Victoria;
- (b) licensed or registered as, or carries on the vocation of a bookmaker; or
- (c) the holder of an office or position specified in paragraph (b) of LR 15 of the Rules.

4. GENERAL LICENCE CONDITIONS FOR JOCKEYS

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following the grant of a licence, the conditions set out below will apply:

- (a) **Permission to Ride:** The licence-holder may ride horses in:
- (i) Track work;
 - (ii) Jump outs; and
 - (iii) Official flat trials.

Note: Additional riding permissions are listed within the “**Licence conditions**” section of this Policy for each category of licence.

- (b) **Disciplinary Riding Record:** A licence holder that accumulates more than five (5) careless riding penalties within any six (6) month-period may be referred by Stewards to the Rider Skills Panel or Licensing Panel whereby his or her licence or permit to race ride may be reviewed. In determining referrals, Stewards will take into account, amongst other things, the quantity of rides by a licence-holder within any six (6) month period.

- (c) **Incapacitation:** If a licence-holder becomes incapacitated whilst licensed to ride and the incapacitation is subject to a Work Cover claim of:

- (i) **Partially incapacitated:** **less** than six (6) months, the licence-holder ought **not**:
- (i) return to any form of riding (such as track work or jump outs) until he or she provides a Work Cover certification for “modified” duties; or
 - (ii) return to riding in official trials or races until he or she provides a Work Cover certification for “pre-injury” duties.

Note: if a licence-holder is partially incapacitated at the end of the current licensing period and cannot satisfy the “General Requirements for Grant of Jockey Licences” for the next licensing period (in particular, the requirements relating to “Health and Fitness”), the licence-holder may be granted a licence for the next licensing period that expires within six (6) months from the date of the incident that has resulted in his or her partial incapacitation. This licence will be granted on the provision that the licence-holder can satisfy all other requirements outlined within this policy;

- (ii) **Long-term incapacitated:** **more** than six (6) months, the licence-holder ought **not**:
- (i) return to any form of riding (such as track work or jump outs) until he or she provides a Work Cover certification for “modified” duties;
 - (ii) return to riding in official trials or races until he or she provides a Work Cover certification for “pre-injury” duties and a satisfactory medical assessment signed by Racing Victoria’s Chief Medical Officer or a medical officer as approved by Racing Victoria;
 - (iii) return to race-riding until he or she completes a number of jumps outs as determined by, and to the satisfaction of, the Rider Skills Panel; and
 - (iv) return to race-riding until he or she completes a number of official trials as determined by, and to the satisfaction of, the Rider Skills Panel.

Note: if a licence-holder is long-term incapacitated at the end of the current licensing period and cannot satisfy the “General Requirements for Grant of Jockey Licences” for the next licensing period (in particular, the requirements relating to “Health and Fitness”), the licence-holder is not eligible to be granted a licence for the next licensing period until all requirements within this policy are satisfied;

- (d) **Permission to Train:**

Restricted Trainer: A Jockey licence-holder is eligible to make application for the grant of a Trainer’s licence, subject to meeting the Trainer licensing requirements set out under the Rules and Racing Victoria’s Trainer Licensing Policy. If a Jockey licence-holder is granted a Restricted Trainer Licence and is eligible to enter horses for racing (the **Jockey-Trainer**), the Jockey-Trainer may hold a Restricted Trainer Licence, subject to:

- (i) the Jockey-Trainer, who trains a horse engaged in a race, not riding any other horse in the race without the specific approval of the Stewards, and may be required to ride his or her own horse if a shortage of riders exists;

- (ii) the Jockey-Trainer not accepting any rides in circumstances where there is more than one horse in any one race that is trained by them;
- (iii) the condition that the Jockey-Trainer may be required to ride a horse in a race within 28 days from; (a) the date of the horse leaving his or her stables, or (b) the date of the horse being transferred from his or her stable return;
- (iv) the condition that if the Jockey-Trainer is suspended for actions, matters or things relating to his or her Jockey licence, he or she (at the discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Trainer for the same or such other period as the Stewards or Racing Victoria determine;
- (v) the condition that if the Jockey-Trainer is suspended for actions, matters or things pertaining to his or her Trainer licence, he or she (at the discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Jockey for the same or such other period as the Stewards or Racing Victoria determine; and
- (vi) any other term or condition or restriction proposed by Racing Victoria in its absolute discretion.

Permission to Train – Pre-Trainer: A Victorian Jockey licence-holder is eligible to make application for the grant of a Trainer’s licence, subject to meeting the requirements set out in this Policy. If a Jockey licence-holder is granted a Pre-Trainer Licence (the **Jockey-Trainer**), the Jockey-Trainer may hold a Pre-Trainer Licence, subject to:

- (i) the condition that the Jockey-Trainer may be required to ride a horse in a race within 28 days from: (a) the date of the horse leaving his or her stables, or (b) the date of the horse being transferred from his or her stable return;
 - (ii) the condition that if the Jockey-Trainer is suspended for actions, matters or things relating to his or her Jockey licence, he or she (at the discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Pre-Trainer for the same or such other period as the Stewards or Racing Victoria determine;
 - (iii) the condition that if the Jockey-Trainer is suspended for actions, matters or things pertaining to his or her Pre-Trainer licence, he or she (at the discretion of the Stewards or Racing Victoria, and in addition to any other penalty authorised by the Rules or the relevant policies), may be suspended as a Jockey for the same or such other period as the Stewards or the Racing Victoria determine; and any other term or condition or restriction proposed by Racing Victoria in its absolute discretion.
- (e) **Riding at Picnic Race Meetings:** Only holders of a Picnic Jockey licence may ride at Picnic race meetings. Note: a Jumps Jockey ‘B’ licence-holder may also hold a Picnic Jockey licence whilst riding in official jumps trials, with the view to obtaining a permit to ride in jumping races.

5. JOCKEY LICENCE

(Including Visiting Jockey Licence)

5.1 Background to a Licence

The Jockey Licence (**Jockey Licence**) is granted to experienced, professional jockeys. A holder of a Jockey Licence has no restrictions or limitations as to where he or she can ride in flat races, other than Picnic race meetings, and is eligible for premium annual entitlements and benefits.

Once granted, this Jockey Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

5.2 Requirements for the grant of a Jockey Licence

In addition to satisfying the “General Requirements for the Grant of Jockey Licences” as outlined in part 3 of this Policy, it is a precondition to the grant of a Jockey Licence that the Applicant:

- (a) Experience:
 - (i) has completed an Apprenticeship under these Rules or the Rules of another PRA; and
 - (ii) has, if not race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) jump outs to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (iii) has, if not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria).
- (b) Criteria: has attained the Certificate IV in Racing – Jockey qualification in accordance with the National Racing Training Package (or recognised equivalent qualification).
- (c) Acknowledges the grant of a Jockey Licence is subject to meeting the minimum required rides for a season (see 5.3(c) below) and the Additional Criteria set out in this Policy.

5.3 Jockey Licence Additional Criteria

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jockey Licence, the conditions set out below will apply:

- (a) Permit to Ride: Following the grant of a Jockey Licence, the Jockey Licence -holder is not permitted to ride in races until he or she has:
 - (i) if not race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) official flat trials to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (ii) if not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 official flat trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria).
- (b) Permission to ride: In addition to section 4(a) of this Policy, the Jockey Licence-holder may ride horses in:
 - (i) Flat races conducted at Provincial and Metropolitan race meetings (except high-weight races and races restricted to Apprentices).

- (c) Minimum rides: Per racing season, the Jockey Licence-holder is required to have a minimum of:
- (i) 24 race rides in Victoria.

Failure to meet the required minimum number of rides will be assessed with discretion and events such as;

- Injury;
- Personal reasons; and
- Lack of opportunity

will be taken into consideration by Racing Victoria.

Note: This condition does not apply to visiting Jockey Licence-holders.

5.4 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Jockey Licence, a Jockey Licence-holder receives for the period of the Jockey Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and
- (c) Car Parking: Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

5.5 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Jockey Licence, a visiting Jockey Licence-holder receives for the period of the visiting Jockey Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

6. JUMPS JOCKEY 'A' LICENCE

(Including Visiting Jumps Jockey 'A' Licence)

6.1 Background to a Licence

Formally known as the Jockey 'A' Cross Country Licence, the Jumps Jockey 'A' Licence (**Jumps A Licence**) is generally only granted to the most senior and experienced jumps jockeys. A holder of a Jumps A Licence has minimal restrictions or limitations as to where he or she can ride (refer "Jumps A Licence conditions" below), and is eligible for annual entitlements and benefits.

Once granted, this Jumps A Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

6.2 Requirements for the grant of a Jumps A Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jumps A Licence that the Applicant:

- (a) Experience:
 - (i) has held a Jumps Jockey 'B' licence (or its equivalent) for a minimum of four (4) years; or
 - (ii) has out ridden his or her claim as per LR59C; or
 - (iii) has completed an Apprenticeship under these Rules or the Rules of another PRA; or
 - (iv) is or has been the holder of a Jockey 'A' or Jockey Licence; and
 - (v) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of 5 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (vi) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); and
 - (vii) has, if not adequately race-ridden **in jumps races** in the 12 months prior to the date of the application being made, schooled horses to the satisfaction of the Rider Skills Panel.
- (b) Criteria:
 - (i) has attained the following qualifications in accordance with the National Racing Training Package (or recognised equivalent qualification):
 - a. Certificate IV in Racing – Jockey; and/or
 - b. Jumps Accreditation Program (Including the Race Riding Criteria).
- (c) Acknowledges the grant of a Jumps A Licence is subject to meeting the minimum required rides for a season (see 6.3(c) below) and the additional criteria set out in this Policy.

6.3 Jumps A Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jumps A Licence, the conditions set out below will apply:

- (a) Permit to Ride: Following the grant of a Jumps A Licence, the Jumps A Licence-holder is not permitted to ride in races until he or she has:
 - (i) if not race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) official (flat) trials **and** five (5) official (jumps) trials to

- the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or
- (ii) if not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of five (5) official (flat) trials **and** 10 official (jumps) trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria).
- (b) Permission to ride: In addition to section 4(a) of this Policy, the Jumps A Licence-holder may ride horses in:
- (i) Official jumps and flat trials;
 - (ii) Jumping races;
 - (iii) High-weight races;
 - (iv) Flat races conducted at Provincial race meetings only (except races restricted to Apprentices); and
 - (v) Apply to the Stewards for daily permission to ride in flat races conducted at Metropolitan race meetings (except races restricted to Apprentices).
- (c) Minimum rides: Per racing season, the Jumps A Licence-holder is required to have a minimum of:
- (i) 12 jumps race rides in Victoria.

Failure to meet the required minimum number of rides will be assessed with discretion and events such as;

- Injury;
- Personal reasons; and
- Lack of opportunity

will be taken into consideration by Racing Victoria.

Note: This condition does not apply to visiting Jumps A Licence-holders.

6.4 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Jumps A Licence, a Jumps A Licence-holder receives for the period of the Jumps A Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and
- (c) Car Parking: Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

6.5 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Jumps A Licence, a visiting Jumps A Licence-holder receives for the period of the visiting Jumps A Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

7. JUMPS JOCKEY 'B' LICENCE

(Including Visiting Jumps Jockey 'B' Licence)

7.1 Background to a Licence

Formally known as the Jockey 'B' Cross Country Licence, the Jumps Jockey 'B' Licence (**Jumps B Licence**) is granted to relatively inexperienced jumps jockeys. Generally, Applicants for a Jumps B Licence have not completed an Apprenticeship under the Rules. A holder of a Jumps B Licence has a number of restrictions or limitations as to where he or she can ride (refer "Jumps B Licence conditions" below), and is eligible for annual entitlements and benefits.

Once granted, this Jumps B Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

7.2 Requirements for the grant of a Jumps B Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of a Jumps B Licence that the Applicant:

- (a) Experience:
 - (i) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of 5 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (ii) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); and
 - (iii) if not adequately race-ridden **in jumps races** in the 12 months prior to the date of the application being made, schooled horses to the satisfaction of the Rider Skills Panel; or
 - (iv) if never race-ridden prior to the date of the application being made, ridden in a minimum of 30 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).
- (b) Criteria:
 - (i) has attained the following qualifications in accordance with the National Racing Training Package (or recognised equivalent qualification):
 - a. Rider Development Screening Day; and
 - b. Jumps Accreditation Program (Including the Race Riding Criteria).
- (c) Acknowledges the grant of a Jumps B Licence is subject to meeting the minimum required rides for a season (see 7.3(c) below) and the additional criteria set out in this Policy.

7.3 Jumps B Licence Conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Jumps B Licence, the conditions set out below will apply:

- (a) Permit to Ride: Following the grant of a Jumps B Licence, the Jumps B Licence-holder is not permitted to ride in races until he or she has:
 - (i) if not race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) official (flat) trials **and** five (5) official (jumps) trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or

- (ii) if not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of five (5) official (flat) trials **and** 10 official (jumps) trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or
 - (iii) if never race-ridden prior to the date of the application being made, ridden in a minimum of 10 official (flat) trials **and** 20 official (jumps) trials to the satisfaction of the Rider Skills Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).
- (b) Permission to ride: In addition to section 4(a) of this Policy, the Jumps B Licence-holder may ride horses in:
- (i) Official jumps and flat trials;
 - (ii) High-weight races conducted at Provincial race meetings only; and
 - (iii) Jumping races conducted at Provincial race meetings only.
- (c) Minimum rides: Per racing season, the Jumps B Licence-holder is required to have a minimum of:
- (i) 6 jumps race rides in Victoria.

Failure to meet the required minimum number of rides will be assessed with discretion and events such as;

- Injury;
- Personal reasons; and
- Lack of opportunity

will be taken into consideration by Racing Victoria.

Note: This condition does not apply to visiting Jumps B Licence-holders.

- (d) Riding at Picnic Race Meetings: The Jumps B Licence-holder may also hold a Picnic Jockey licence whilst riding in official jumps trials, with the view to obtaining a permit to ride in jumping races.
- (e) Allowances: Unless otherwise provided in the conditions of the race, Jumps B Licence-holders may claim an allowance on the following scale: In any handicap hurdle race or steeplechase or maiden jumping race for which the advertised prizemoney (not including trophies) is \$55,000 or less, a Jumps B Licence-holder is, until he or she has ridden 10 winners in hurdle races and/or steeplechases, entitled to claim a 3kg allowance, provided that:
- (i) after he or she has ridden 10 winners and until he or she has ridden 20 winners is entitled to claim a 1.5kg allowance;
 - (ii) in no event may any horse carry less than 57kg;
 - (iii) no account may be taken of wins in historical Point-to-Point meetings when assessing eligibility to claim.
- (f) Allowance change on raceday: A Jumps B Licence-holder may claim the same allowance throughout a day of racing which he or she was entitled to claim at the beginning of the day.

7.4 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Jumps B Licence, a Jumps B Licence-holder receives for the period of the Jumps B Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and

- (c) Car Parking: Raceday Service Car Park Pass (permitting access to Jockey only designated parking on course).

7.5 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Jumps B Licence, a visiting Jumps B Licence-holder receives for the period of the visiting Jumps B Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

8. PICNIC JOCKEY LICENCE (Including Visiting Picnic Jockey Licence)

8.1 Background to a Licence

Formally known as an “approval to ride at picnic meetings”, the Picnic Jockey Licence (**Picnic Licence**) is granted to amateurs or relatively inexperienced jockeys. Generally, Applicants for a Picnic Licence have not completed an Apprenticeship under the Rules of Racing. A holder of a Picnic Licence is restricted to riding at picnic race meetings only (refer “Picnic Licence conditions” below), and is eligible for annual entitlements and benefits.

Once granted, this Picnic Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

8.2 Requirements for the grant of a Picnic Licence

In addition to satisfying the “General Requirements for the Grant of Jockey Licenses” as outlined in part 3 of this Policy, it is a precondition to the grant of a Picnic Licence that the Applicant:

- (a) Experience:
 - (i) has not ridden more than 20 (flat) winners at Provincial or Metropolitan race meetings (not including Non-TAB race meetings) conducted by registered clubs;
 - (ii) has not been at any time within the previous five (5) years licensed as a Bookmaker; and
 - (iv) if not adequately race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of 5 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (v) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (vi) if never race-ridden prior to the date of the application being made, ridden in a minimum of 20 jump outs (flat) to the satisfaction of the Rider Skills Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).
- (b) Criteria:
 - (i) has attained the following qualifications in accordance with the National Racing Training Package (or recognised equivalent qualification):
 - a. Rider Development Screening Day; and
 - b. Race Riding Criteria.
- (c) Acknowledges the grant of a Picnic Licence is subject to meeting the minimum required rides for a season (see 8.3(c) below) and the additional criteria set out in this Policy.

8.3 Picnic Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of a Picnic Licence, the conditions set out below will apply:

- (a) Permit to Ride: Following the grant of a Picnic Licence, the Picnic Licence -holder is not permitted to ride in races until he or she has:
 - (i) if not race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) official flat trials to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or

- (ii) if never race-ridden, or not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 official flat trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria).
- (b) Permission to ride: In addition to section 4.(a) of this Policy, the Picnic Licence -holder may only ride horses in flat races conducted at Picnic race meetings.
- (c) Minimum rides: Per racing season, the Picnic Licence-holder is required to have a minimum of:
 - (i) 6 Picnic race rides in Victoria.

Failure to meet the required minimum number of rides will be assessed with discretion and events such as;

- Injury;
- Personal reasons; and
- Lack of opportunity

will be taken into consideration by Racing Victoria.

Note: This condition does not apply to visiting Picnic Licence-holders.

- (d) Jumps Jockey 'B' Licence: A Picnic Licence-holder may also hold a Jumps Jockey 'B' licence for the purposes of riding in official jumps trials with the view to obtaining a permit to ride in jumping races.
- (e) Allowances: Unless otherwise provided in the conditions of the race, Picnic Licence-holders may claim an allowance on the following scale:
 - (i) if he or she has not ridden 5 winners: 3kg;
 - (ii) if he or she has ridden 5 winners but has not ridden 15 winners: 2kg;
 - (iii) if he or she has ridden 15 or more winners: no claim permitted.
- (f) Allowance change on raceday: A Picnic Licence-holder may claim the same allowance throughout a day of racing which he or she was entitled to claim at the beginning of the day.

8.4 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Picnic Licence, a Picnic Licence-holder receives for the period of the Picnic Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Annual Admission Card (permitting access to General Public Areas); and
- (c) Car Parking: Raceday Service Car Park Pass (permitting access to Jockey-only designated parking on course).

8.5 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her visiting Picnic Licence, a visiting Picnic Licence-holder receives for the period of the visiting Picnic Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).

9. APPRENTICE JOCKEY LICENCE (Including Visiting Apprentice Jockey Licence)

9.1 Background to a Licence

Formally known as an Apprentice 'A' or 'B' Permit, the Apprentice Jockey Licence (**Apprentice Licence**) is granted to new and inexperienced jockeys. Generally, Applicants for an Apprentice Licence have ridden track work for many years and experienced riding in various equine pursuits, such as pony club associations and the performance horse industry. A holder of an Apprentice Licence has some restrictions and limitations as to where he or she can ride and is eligible for annual entitlements and benefits.

Once an Apprentice Licence is granted, the Apprentice Licence-holder is classified as an Apprentice Jockey 'B'. However, once the Apprentice has accumulated a number of winners as determined by Stewards, the Stewards and the Rider Skills Panel may reclassify the Apprentice Licence-holder to an Apprentice Jockey 'A'. Refer "Apprentice Licence conditions" below.

Once granted, this Apprentice Licence remains active between the period of 1 August and 31 July each year unless cancelled, suspended or revoked.

9.2 Requirements for the grant of a Apprentice Licence

In addition to satisfying the "General Requirements for the Grant of Jockey Licences" as outlined in part 3 of this Policy, it is a precondition to the grant of an Apprentice Licence that the Applicant:

- (a) Experience:
 - (i) if making an application to ride on loan in Victoria (from an Interstate or Overseas-based PRA), has ridden a minimum of 20 winners in the Metropolitan area in their home jurisdiction;
 - (ii) has been accepted and enrolled in Racing Victoria's Apprentice Program in order to participate in Certificate IV in Racing – Jockey;
 - (iii) together with the licensed trainer, has completed the Apprentice Employment Agreement and Deed of Apprenticeship;
 - (iv) has, if not race-ridden, been observed by Racing Victoria to ride satisfactorily in track work;
 - (v) has, if not adequately race-ridden in the 12 months prior to the application being made, ridden in a minimum of 5 jump outs to the satisfaction of Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (vi) if not adequately race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 jump outs to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (vii) if never race-ridden prior to the date of the application being made, ridden in a minimum of 20 jump outs to the satisfaction of the Rider Skills Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).
- (b) Criteria:
 - (i) Rider Development Screening Day; and
 - (ii) Race Riding Criteria Package.
- (c) Acknowledges the grant of an Apprentice Licence is subject to meeting the minimum required rides for a season (see 9.3(f) below) and the additional criteria set out in this Policy.

9.3 Apprentice Licence conditions

In addition to any obligations, requirements and conditions as provided by this Policy or the Rules, an Applicant must agree and acknowledge that, following a grant of an Apprentice Licence, the conditions set out below will apply:

- (a) Employment: Unless otherwise approved by Racing Victoria, a licensed trainer is not permitted to employ an Apprentice (including by loan) unless:
 - (i) 25 years or older: the trainer is 25 years of age or over and has had at least one year's experience as a trainer; or
 - (ii) under 25 years: if the trainer is under 25 years of age, the trainer has had at least three years of experience as a trainer.
- (b) Approval for riding in official trials and jump outs: An apprentice may not ride in any official trial, jump out or other trial until the apprentice has been observed by the Stewards and/or the Rider Skills Panel to ride satisfactorily in track work.
- (c) Permit to Ride: Following the grant of an Apprentice Licence, the Apprentice Licence-holder is not permitted to ride in races until he or she has:
 - (i) if not race-ridden in the 12 months prior to the date of the application being made, ridden in a minimum of five (5) official flat trials to the satisfaction of the Rider Skills Panel (to be completed within three (3) months from the time that the requirement is applied by Racing Victoria); or
 - (ii) if not race-ridden in the 24 months prior to the date of the application being made, ridden in a minimum of 10 official flat trials to the satisfaction of the Rider Skills Panel (to be completed within six (6) months from the time that the requirement is applied by Racing Victoria); or
 - (iii) if never race-ridden, ridden in a minimum of 20 official flat trials (of which at least two (2) must be of 1,200 meters or further and with the last two (2) official trials being under race day conditions) to the satisfaction of the Rider Skills Panel (to be completed within 12 months from the time that the requirement is applied by Racing Victoria).
- (d) Permission to ride: In addition to section 4(a) of this Policy, the Apprentice Licence-holder may ride horses in:
 - (i) once granted a Permit to Ride, flat races conducted at Provincial and Metropolitan race meetings (except high-weight races or picnic race meetings).
- (e) First Ride at Track: No later than two (2) hours prior to his or her first ride at a particular track, an Apprentice Licence-holder must attend the Stewards' Room and advise the Stewards that he or she is engaged to ride at the racecourse for the first time.
- (f) Days of Riding: Notwithstanding the deed of apprenticeship regarding fulfilment of riding commitments:
 - (i) no apprentice shall accept rides for more than six consecutive days (a seventh day may be granted by Stewards in extraordinary circumstances);
 - (ii) each apprentice jockey shall have a minimum of two days without race riding in each 14 day period; and
 - (iii) no apprentice shall be permitted to accept rides for both a day and twilight/night race meeting, on the same day.
- (g) Minimum rides: Per racing season, the Apprentice Licence-holder is required to have a minimum of:
 - (i) 12 race rides in Victoria.

Failure to meet the required minimum number of rides will be assessed with discretion and events such as;

- Injury;
- Personal reasons; and
- Lack of opportunity

will be taken into consideration by Racing Victoria.

Note: This condition does not apply to visiting Apprentice Licence-holders.

- (h) Jumps Jockey Licence: Subject to approval by Racing Victoria, an Apprentice Licence -holder who is over 17 years of age may also hold a Jumps Jockey 'B' licence or Jumps Jockey 'A' licence for the purposes of riding in official jumps trials or jumps races. This is also subject to meeting the relevant criteria of the respective jumping licenses outlined within this Policy.
- (i) Allowances: Unless otherwise provided for in the conditions of the race, Apprentice Licence-holders may claim an allowance on the following scale:
 - (i) For races run in the Provincial or Metropolitan areas:
 - (i) If he or she has not ridden five (5) winners on the flat: 4kg;
 - (ii) if he or she has not ridden 20 winners on the flat in a Metropolitan area: 3kg;
 - (iii) if he or she has not ridden 50 winners on the flat in a Metropolitan area: 2kg;
 - (iv) if he or she has not ridden 80 winners on the flat in a Metropolitan area: 1.5kg.
 - (ii) For races run other than in a Provincial or Metropolitan area:
 - (i) If he or she has not ridden five (5) winners on the flat: 4kg;
 - (ii) if he or she has not ridden 20 winners on the flat: 3kg;
 - (iii) if he or she has not ridden 50 winners on the flat: 2kg;
 - (iv) if he or she has not ridden 80 winners on the: 1.5kg.
- (j) Group or Listed Races: A winning ride in a Group or Listed race is deemed to be a winning ride in a Metropolitan area. Further, an Apprentice Licence -holder cannot claim a weight allowance in any Group or Listed race.
- (k) Allowance change on raceday: An Apprentice Licence-holder may claim the same allowance throughout a day of racing which he or she was entitled to claim at the beginning of the day.
- (l) Apprentice Jockey 'A' Licence: Unless otherwise approved by Racing Victoria, upgrading from an Apprentice Jockey 'B' Licence to an Apprentice Jockey 'A' Licence is at Racing Victoria's discretion and based on an assessment of riding ability made after observing the Apprentice ride in races, and not until he or she has ridden 20 winners of which 5 or more must be in the Metropolitan Area. The Apprentice Licence-holder must also meet the required standards at the Victorian Apprentice Training Academy.
- (m) Apprentice Jockey 'B' Licence: Unless otherwise approved by Racing Victoria, an Apprentice Licence-holder who has ridden 5 or more winning rides may apply to the Stewards for daily permission to ride at a Metropolitan race meeting, other than at race meetings designated by Racing Victoria as being part of the Spring Racing Carnival or Autumn Racing Carnival.
- (n) Spring and Autumn Carnivals: For the purposes of the above condition:
 - (i) Spring Carnival – Feature meetings where Group 1 races are conducted during September, October and November and the Sandown Guineas meeting.
 - (ii) Autumn Carnival – Feature meetings where Group 1 races are conducted during February and March and the VRC Sires' Produce Stakes meeting.

9.4 Loan Applications for Victorian-based Apprentices

Subject to the Deed of Apprenticeship, the Victorian-based Apprentice Licence-holder may be placed on Secondment with a borrowing Trainer (**Loan**). A Loan may not be for a period of more than three (3) months, unless Racing Victoria determines it appropriate to extend the Loan based on the circumstances of the apprenticeship, including any need for further skills development.

In these circumstances, the lending Trainer remains entitled to a percentage of the Apprentice Licence-holder's riding fees and percentages in accordance with the Deed of Apprenticeship during the Loan but may agree to pay a portion to the borrowing Trainer in consideration for the borrowing Trainer entering into, and performing his or her obligations under, the Loan.

9.5 Loan Applications for Interstate or Overseas-based Apprentices

Subject to approval from the interstate or overseas-based PRA, the visiting Apprentice Licence-holder may be placed on Secondment within Victoria to a borrowing Victorian Trainer, provided the visiting Apprentice Licence-holder meets the standards as outlined in 9.3(l) of this policy, including, and unless otherwise approved by the Stewards, the provision that he or she has ridden 20 winners in the metropolitan area.

A Secondment may not be for a period of more than three (3) months, unless the interstate or overseas-based PRA or Racing Victoria determines that it is appropriate to extend the Loan based on the circumstances of the apprenticeship, including any need for further skills development.

In these circumstances, the lending interstate or overseas-based Trainer remains entitled to a percentage of the visiting Apprentice Licence-holder's riding fees and percentages in accordance with the Deed of Apprenticeship during the loan but may agree to pay a portion to the borrowing Victorian Trainer in consideration for the borrowing Victorian Trainer entering into, and performing his or her obligations under, the loan.

Note: Racing Victoria may determine to place a cap the number of interstate or overseas-based Apprentice Jockeys riding on loan in Victoria at any-one-time.

9.6 Transfer Applications for Victorian-based Apprentices

An application to transfer a Victorian-based apprenticeship to an interstate or overseas-based Principal Racing Authority should be made (in writing) to both racing authority's, at least 10 days prior to the Apprentice Licence-holder relocating and/or the Loan expiring.

9.7 Transfer Applications for Interstate or Overseas-based Apprentices

An application to transfer an apprenticeship from an interstate or overseas-based PRA to Racing Victoria should be made (in writing) to Racing Victoria within 14 days of the Loan period expiring.

9.8 Extension Applications for Victorian-based Apprentices

The term of a Victorian-based apprenticeship may be extended at the discretion of Racing Victoria for such further period as Racing Victoria considers is necessary to ensure that the Apprentice Licence-holder has demonstrated competence in all learning outcomes as stipulated in the training program for Apprentice Licence-holders to the satisfaction of Racing Victoria. Further, consent is required by the Apprentice Licence-holder's employer and guardian (if under 18 years of age).

If the Apprentice Licence-holder is eligible for an extension of apprenticeship, an extension of up to 12-months may be granted subject to the Apprentice Licence-holder satisfying the below criteria:

- (a) Certificate IV Jockey;
- (b) Certificate III & IV Fitness;
- (c) Victorian Certificate of Applied Learning (VCAL), if applicable;
- (d) adherence to the RV Apprentice Code of Conduct;
- (e) demonstrated commitment to a career as a Jockey;
- (f) can utilise their existing metropolitan claim; and

- (g) a satisfactory behaviour and disciplinary record.

Following the completion of the extension, Racing Victoria will consider extending the term of apprenticeship if the Apprentice Licence-holder has spent a significant period of time not participating in races due to Work Cover-related injuries.

9.9 Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Apprentice Licence, an Apprentice Licence-holder receives for the period of the Apprentice Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas);
- (b) Guest Ticketing: Two (2) Annual Admission Cards (permitting access to General Public Areas); and
- (c) Car Parking: Annual Car Park Pass (permitting access to Jockey only designated parking on course).

9.10 Visiting Jockey Ticketing and Car Parking

Subject to ongoing compliance with the terms and conditions of his or her Apprentice Licence, an Apprentice Licence-holder receives for the period of the Apprentice Licence, the following annual entitlements and benefits:

- (a) Ticketing: Raceday Service Identification Card (permitting access to all Horse Areas whilst on official duties, and General Public Areas).